

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

1999

Department of the Treasury
Internal Revenue Service

Note: The organization may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 1999, or tax year beginning , 1999, and ending

Use the IRS
label.
Otherwise,
please print
or type.
See Specific
Instructions.Hollie & Anna Oakley Foundation, Inc.
8 South 16th Street
Terre Haute, IN 47807

A Employer identification number

23-7008034

B Telephone number (see page 9 of the instructions)

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐G If address changed, check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end
of year (from Part II, col. (c), line 16)

\$ 9,185,658

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I

Analysis of Revenue & Expenses

(The total of amounts in columns (b), (c), and
(d) may not necessarily equal the amounts in
col.(a) (see page 9 of the instructions).)(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

1	Contributions, gifts, grants, etc., received (attach sch.)	St. 1	229,446			
2	Contributions from split-interest trusts					
3	Interest on savings and temporary cash investments		435,510	435,510	435,510	
4	Dividends and interest from securities		42,202	42,202	42,202	
5a	Gross rents					
b	(Net rental income or (loss))					
6	Net gain or (loss) from sale of assets not on line 10	Stm. 2	33,487			
7	Capital gain net income (from Part IV, line 2)			33,487		
8	Net short-term capital gain				1,564	
9	Income modifications					
10a	Gross sales less returns and allowances					
b	Less: Cost of goods sold					
c	Gross profit or (loss) (attach schedule)					
11	Other income (attach schedule)					
12	Total. Add lines 1 through 11		740,645	511,199	479,276	
13	Compensation of officers, directors, trustees, etc.		7,500	750		
14	Other employee salaries and wages		80,000			
15	Pension plans, employee benefits					
16a	Legal fees (attach schedule)					
b	Accounting fees (attach schedule)	Stmt. 3	2,195	220		
c	Other professional fees (attach schedule)	St. 4	4,623	4,623		
17	Interest					
18	Taxes (attach sch.) (see pg. 12 of instr.)	See Stmt. 5	14,834	52		
19	Depreciation (attach schedule) and depletion		9,937			
20	Occupancy					
21	Travel, conferences, and meetings					
22	Printing and publications		350			
23	Other expenses (attach schedule)	Stmt. 6	8,406			
24	Total operating and administrative expenses. Add lines 13 through 23		127,845	5,645		
25	Contributions, gifts, grants paid	See Prt. XV	413,950			413,950
26	Total expenses and disbursements. Add lines 24 and 25		541,795	5,645	0	413,950
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements		198,850			
b	Net investment income (if negative, enter -0-)			505,554		
c	Adjusted net income (if negative, enter -0-)				479,276	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash – non-interest-bearing	58,444	33,829	33,829
	2 Savings and temporary cash investments	883,252	846,867	846,867
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 14 of the instructions)			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (att. sch.)	6,084,575	5,035,443	4,944,025
	b Investments – corporate stock (attach schedule)	1,204,311	1,684,654	2,344,817
	c Investments – corporate bonds (attach schedule)	181,406	906,572	544,749
	L I A B I L I T I E S	11 Investments – land, buildings, and equipment: basis ▶ <u>Statement 7</u> 13,303		
Less: accumulated depreciation (attach schedule) ▶ 9,329		4,216	3,974	3,974
12 Investments – mortgage loans				
13 Investments – other (attach schedule)		191,525	291,525	274,784
14 Land, buildings, and equipment: basis ▶ <u>St 8</u> 213,620				
Less: accumulated depreciation (attach schedule) ▶ 21,007		188,797	192,613	192,613
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers – see pg. 15 of the instructions. Also, see page 1, item I)		8,796,526	8,995,477	9,185,658
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, & other disqual. persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe ▶ <u>See Statement 9</u>)	582	683		
23 Total liabilities (add lines 17 through 22)	582	683		
N E T A S S E T S O R F U N D B A L A N C E S	Organizations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Organizations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	8,364,588	8,627,522	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund.			
	29 Retained earnings, accumulated income, endowment, or other funds	431,356	367,272	
30 Total net assets or fund balances (see page 16 of the instructions)	8,795,944	8,994,794		
31 Total liabilities and net assets/fund balances (see page 16 of the instructions)	8,796,526	8,995,477		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,795,944
2 Enter amount from Part I, line 27a	2	198,850
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	8,994,794
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	8,994,794

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
See Statement 10			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) F.M.V. as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any	

2 Capital gain net income or (net capital loss). { If gain, also enter in Part I, line 7 } If (loss), enter -0- in Part I, line 7	2	33,487
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 11 and 16 of the instructions). } If (loss), enter -0- in Part I, line 8.	3	1,564

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 16 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
1998	316,212	5,726,311	0.055221
1997	227,570	5,085,367	0.044750
1996	217,700	4,501,176	0.048365
1995	211,850	4,575,592	0.046300
1994	208,250	2,508,529	0.083017

2 Total of line 1, column (d)	2	0.277653
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.055531
4 Enter the net value of noncharitable-use assets for 1999 from Part X, line 5	4	9,247,887
5 Multiply line 4 by line 3	5	513,544
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,056
7 Add lines 5 and 6	7	518,600
8 Enter qualifying distributions from Part XII, line 4	8	413,950

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 16.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 16 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1,			
Date of ruling letter: _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic organizations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	10,111
c All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2		3	10,111
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	10,111
6 Credits/Payments:			
a 1999 estimated tax payments & 1998 overpayment credited to 1999	6a	6,640	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 2758)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	6,640	
8 Enter any PENALTY for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 TAX DUE. If the total of lines 5 and 8 is more than line 7, enter AMOUNT OWED	9	3,471	
10 OVERPAYMENT. If line 7 is more than the total of lines 5 and 8, enter the AMOUNT OVERPAID	10		
11 Enter the amount of line 10 to be: Credited to 2000 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 17 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities.		
c Did the organization file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the organization. <input type="checkbox"/> \$ 0 (2) On the organization managers. <input type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers. <input type="checkbox"/> \$ 0		
2 Has the organization engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities.		
3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T.		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument; or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the organization have at least \$5,000 in assets at any time during the year?	X	
If "Yes," complete Part II, column (c), and Part XV.		
8a Enter the states to which the foundation reports or with which it is registered (see page 18 of the instructions) <input type="checkbox"/> <u>Indiana</u>		
b If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 1999 or the taxable year beginning in 1999 (see instructions for Part XIV on page 23)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year?	X	
If "Yes" attach a schedule listing their names and addresses. See Statement 11		
11a Did anyone request to see either the organization's annual return or its exemption application (or both)?		X
b If "Yes," did the organization comply pursuant to the instructions? (See General Instruction Q.)	N/A	
12 The books are in care of <input type="checkbox"/> <u>Julie Heck</u> Telephone No. <input type="checkbox"/> <u>(812) 232-4437</u>		
Located at <input type="checkbox"/> <u>8 South 16th Street, Terre Haute, IN</u> ZIP + 4 <input type="checkbox"/> <u>47807</u>		
13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041. Check here <input type="checkbox"/> <u>N/A</u>		
and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> <u>N/A</u>	13	N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1 Self-dealing (section 4941):**a** During the year did the organization (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the organization agreed to make a grant to or employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

b If any answer is "Yes" to 1a(1)-(6), did ANY of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 18 of the instructions)? ☐Organizations relying on a current notice regarding disaster assistance check here ☐**c** Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 1999? ☐**2 Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):****a** At the end of tax year 1999, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 1999? ☐ Yes ☒ No
If "Yes," list the years ☐ 19____, 19____, 19____, 19____.**b** Are there any years listed in 2a for which the organization is **NOT** applying the provisions of section 4942 (a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942 (a)(2) to ALL years listed, answer "No" and attach statement - see page 18 of the instructions.) ☐**c** If the provisions of section 4942(a)(2) are being applied to ANY of the years listed in 2a, list the years here.
☐ 19____, 19____, 19____, 19____.**3 Taxes on excess business holdings (section 4943):****a** Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No**b** If "Yes," did it have excess business holdings in 1999 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 1999.) ☐**4 Taxes on investments that jeopardize charitable purposes (section 4944):****a** Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐ Yes ☒ No**b** Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 1999? ☐**5 Taxes on taxable expenditures (section 4945) and political expenditures (section 4955):****a** During the year did the organization pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did ANY of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 19 of the instructions)? ☐Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d). ☐

Yes No

1b N/A

1c X

2b N/A

3b N/A

4a X

4b X

5b N/A

1. List all officers, directors, trustees, foundation managers and their compensation (see page 19 of the instructions):

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 19 of the instructions). If none, enter "NONE."

Total number of other employees paid over \$50,000

Total number of others receiving over \$50,000 for professional services

Expenses

Form 990-PF (199

Part IX-B Summary of Program-Related Investments (see page 20 of the instructions)

Describe any program-related investments made by the foundation during the tax year.		Amount
1N/A		
2		
3		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 20 of the instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	8,024,200
b Average of monthly cash balances	1b	911,196
c Fair market value of all other assets (see page 21 of the instructions)	1c	453,322
d Total (add lines 1a, b, and c)	1d	9,388,718
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2 Acquisition indebtedness applicable to line 1 assets	2	0
3 Subtract line 2 from line 1d	3	9,388,718
4 Cash deemed held for charitable activities - Enter 1 1/2% of line 3 (for greater amount, see page 21 of the instructions)	4	140,831
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,247,887
6 Minimum investment return. Enter 5% of line 5	6	462,394

Part XI Distributable Amount (see page 21 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	462,394
2a Tax on investment income for 1999 from Part VI, line 5	2a	10,111
b Income tax for 1999. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	10,111
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	452,283
4a Recoveries of amounts treated as qualifying distributions	4a	
b Income distributions from section 4947(a)(2) trusts	4b	
c Add lines 4a and 4b	4c	
5 Add lines 3 and 4c	5	452,283
6 Deduction from distributable amount (see page 22 of the instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and Part XIII, line 1	7	452,283

Part XII Qualifying Distributions (see page 22 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	413,950
b Program-related investments - total of lines 1 - 3 of Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	413,950
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 22 of the instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	413,950

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 22 of the instructions)

	(a) Corpus	(b) Years prior to 1998	(c) 1998	(d) 1999
1 Distributable amount for 1999 from Part XI, line 7.				452,283
2 Undistributed income, if any, as of the end of 1998:				
a Enter amount for 1998 only			0	
b Total for prior years: 19____, 19____, 19____		0		
3 Excess distributions carryover, if any, to 1999:				
a From 1994				
b From 1995				
c From 1996				
d From 1997				
e From 1998	29,937			
f Total of lines 3a through e	29,937			
4 Qualifying distributions for 1999 from Part XII, line 4:				
▶ \$ 413,950				
a Applied to 1998, but not more than line 2a.			0	
b Applied to undistributed income of prior years (Election required - see page 23 of the instructions)		0		
c Treated as distributions out of corpus (Election required - see page 23 of the instructions)	0			
d Applied to 1999 distributable amount				413,950
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 1999.	29,937			29,937
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount - see page 23 of the instructions		0		
e Undistributed income for 1998. Subtract line 4a from line 2a. Taxable amount - see pg. 23 of the instructions			0	
f Undistributed income for 1999. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2000.				8,396
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 23 of the instructions).	0			
8 Excess distributions carryover from 1994 not applied on line 5 or line 7 (see page 23 of the instructions)	0			
9 Excess distributions carryover to 2000. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9:				
a Excess from 1995				
b Excess from 1996				
c Excess from 1997				
d Excess from 1998				
e Excess from 1999				

Part XIII Undistributed Income (see page 22 of the instructions)

	(a) Corpus	(b) Years prior to 1998	(c) 1998	(d) 1999
1 Distributable amount for 1999 from Part XI, line 7.				452,283
2 Undistributed income, if any, as of the end of 1998:				
a Enter amount for 1998 only			0	
b Total for prior years: 19____, 19____, 19____		0		
3 Excess distributions carryover, if any, to 1999:				
a From 1994				
b From 1995				
c From 1996				
d From 1997				
e From 1998	29,937			
f Total of lines 3a through e	29,937			
4 Qualifying distributions for 1999 from Part XII, line 4:				
▶ \$ 413,950				
a Applied to 1998, but not more than line 2a.			0	
b Applied to undistributed income of prior years (Election required - see page 23 of the instructions) ...		0		
c Treated as distributions out of corpus (Election required - see page 23 of the instructions) ...	0			
d Applied to 1999 distributable amount				413,950
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 1999.	29,937			29,937
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount - see page 23 of the instructions		0		
e Undistributed income for 1998. Subtract line 4a from line 2a. Taxable amount - see pg. 23 of the instructions			0	
f Undistributed income for 1999. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2000 ...				8,396
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 23 of the instructions).	0			
8 Excess distributions carryover from 1994 not applied on line 5 or line 7 (see page 23 of the instructions)	0			
9 Excess distributions carryover to 2000. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9:				
a Excess from 1995				
b Excess from 1996				
c Excess from 1997				
d Excess from 1998				
e Excess from 1999				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
See Statement 15				
Total				413,950
b Approved for future payment				
Total				

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
1a(1)		X
a(2)		X
b(1)		X
b(2)		X
b(3)		X
b(4)		X
b(5)		X
b(6)		X
c		X

[illegible]

- | b. If Yes, complete the following schedule: | | |
|---|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| N/A | | |
| | | |
| | | |
| | | |

1 Enter the date the notice of availability of the annual return appeared in a newspaper ▶ _____

2 Enter the name of the newspaper ▶ Terre Haute Tribune Star

3 Check here ▶ ☒ to indicate that you have attached a copy of the newspaper notice required by the instructions on page 26. (If the notice is not attached, the return will be considered incomplete.)

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

PLEASE SIGN HERE

Signature of officer or trustee

Date _____

Title

P
R
E

Preparer's
signature

Donald D. Rusk
Donald D. Rusk

Date _____

05-08-01

Check if self-employed ☐

Preparer's SSN or PTIN

313-38-3827

Firm's name (or yours
if self-employed)
and address

Sackrider & Company, Inc.

P.O. Box 330

Terre Haute, IN

EIN ▶ 35-1327464

ZIP + 4 ► 47808-0330

Hollie & Anna Oakley Foundation, Inc.

23-7008034

Statement 1
Form 990-PF, Part I, Line 1
Contributions, Gifts, and Grants

Direct Contributions:

Contributor's Name	Contributor's Address	Amount of Contr.
H.N. Oakley Testamentary Trust	8 South 16th Street Terre Haute, IN, 47807	\$ 53,372
Alice O. Schmidt	25 Bogart Terre Haute, IN 47803	41,799
H.N. Oakley Testamentary Trust	8 South 16th Street Terre Haute, IN, 47807	134,275
Description : Stock Date Received: 12/10/99		
Total Direct Contributions		\$ 229,446
Total Contributions		<u>\$ 229,446</u>

Hollie & Anna Oakley Foundation, Inc.

23-7008034

Statement 2
Form 990-PF, Part I, Line 6
Net Gain (Loss) from Noninventory Sales

Publicly Traded Securities:

Gross sales price: 1,849,684
Cost or other basis: 1,816,197

Gain (Loss) \$ 33,487

Total Gain (Loss) \$ 33,487

Statement 3
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Tax return preparation	\$ 2,195	220		
Totals	\$ 2,195	220		

Statement 4
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
A.G. Edwards Asset Manage	\$ 4,623	4,623		
Totals	\$ 4,623	4,623		

Statement 5
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise tax on investment	\$ 7,103			
Medicare Tax	1,160			
FICA Tax	4,960			
Foreign Tax on Investment	52	52		
Unemployment tax	1,559			
Totals	\$ 14,834	52		

Hollie & Anna Oakley Foundation, Inc.

23-7008034

Statement 6
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Supplies	\$ 91			
Postage	45			
State Filing Fee	10			
Utilities	1,437			
Conferences	3,786			
Meeting Expenses	107			
Annual Report Notice	25			
Repairs	1,800			
Janitorial Services	400			
Security	705			
Totals	<u>\$ 8,406</u>			

Statement 7
Form 990-PF, Part II, Line 11
Investments - Land, Buildings, and Equipment

Asset	Basis	Accum. Deprec.	Book Value	Fair Mkt. Value
Buildings	\$ 9,703	7,729	1,974	1,974
Improvements	1,600	1,600	0	0
Land	2,000		2,000	2,000
Total	<u>\$ 13,303</u>	<u>9,329</u>	<u>3,974</u>	<u>3,974</u>

Statement 8
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

Asset	Basis	Accum. Deprec.	Book Value	Fair Mkt. Value
Machinery and equipment	\$ 44,608	12,291	32,317	32,317
Buildings	28,500	4,691	23,809	23,809
Improvements	138,798	4,025	134,773	134,773
Land	1,714		1,714	1,714
Total	<u>\$ 213,620</u>	<u>21,007</u>	<u>192,613</u>	<u>192,613</u>

Hollie & Anna Oakley Foundation, Inc.

23-7008034

Statement 9
Form 990-PF, Part II, Line 22
Other Liabilities

Other Liabilities	Balance Due
Payroll Taxes	\$ 681
Rounding	2
Total	<u>\$ 681</u>

Statement 10
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

- 1)
 - (a) Description : American Intl Group Inc
 - (b) How acquired : Purchased
 - (c) Date acquired: 9/29/97
 - (d) Date Sold : 3/11/99
- 2)
 - (a) Description : Cisco Systems Inc
 - (b) How acquired : Purchased
 - (c) Date acquired: 9/29/97
 - (d) Date Sold : 7/21/99
- 3)
 - (a) Description : Citigroup
 - (b) How acquired : Purchased
 - (c) Date acquired: 5/29/98
 - (d) Date Sold : 3/24/99
- 4)
 - (a) Description : Corning Inc
 - (b) How acquired : Purchased
 - (c) Date acquired: 6/04/98
 - (d) Date Sold : 3/25/99
- 5)
 - (a) Description : Gannett Co Inc
 - (b) How acquired : Purchased
 - (c) Date acquired: 8/27/98
 - (d) Date Sold : 3/11/99
- 6)
 - (a) Description : IMS Health Inc
 - (b) How acquired : Purchased
 - (c) Date acquired: 6/30/98
 - (d) Date Sold : 1/07/99
- 7)
 - (a) Description : Lucent Technologies
 - (b) How acquired : Purchased
 - (c) Date acquired: 1/13/99
 - (d) Date Sold : 7/21/99

Hollie & Anna Oakley Foundation, Inc.

23-7008034

Statement 10 (Continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

- 8)
(a) Description : Newell Company
(b) How acquired : Purchased
(c) Date acquired: 9/01/98
(d) Date Sold : 9/07/99
- 9)
(a) Description : Newell Company
(b) How acquired : Purchased
(c) Date acquired: 12/14/98
(d) Date Sold : 9/07/99
- 10)
(a) Description : Nielsen Media Research Inc
(b) How acquired : Purchased
(c) Date acquired: 5/18/98
(d) Date Sold : 1/08/99
- 11)
(a) Description : Pepsico Inc
(b) How acquired : Purchased
(c) Date acquired: 10/02/98
(d) Date Sold : 3/11/99
- 12)
(a) Description : Rohm & Haas
(b) How acquired : Purchased
(c) Date acquired: 6/21/99
(d) Date Sold : 7/21/99
- 13)
(a) Description : Service Corp Intl
(b) How acquired : Purchased
(c) Date acquired: 9/11/98
(d) Date Sold : 9/07/99
- 14)
(a) Description : Service Corp Intl
(b) How acquired : Purchased
(c) Date acquired: 1/27/99
(d) Date Sold : 9/07/99
- 15)
(a) Description : Washington Mutual Fund
(b) How acquired : Purchased
(c) Date acquired: 6/02/98
(d) Date Sold : 9/22/99
- 16)
(a) Description : US T-Note 6.0% due 10/15/99
(b) How acquired : Purchased
(c) Date acquired: 10/08/98
(d) Date Sold : 10/15/99

Hollie & Anna Oakley Foundation, Inc.

23-7008034

Statement 10 (Continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

- 17)
(a) Description : US T-Note 6.0% due 10/15/99
(b) How acquired : Purchased
(c) Date acquired: 1/12/99
(d) Date Sold : 10/15/99
- 18)
(a) Description : US T-Note 6.375% due 1/15/99
(b) How acquired : Purchased
(c) Date acquired: Various
(d) Date Sold : 1/15/99
- 19)
(a) Description : Old National Bancorp cash in lieu of
(b) How acquired : Purchased
(c) Date acquired: 1/28/99
(d) Date Sold : 1/28/99
- 20)
(a) Description : Rohm & Haas - cash in lieu of
(b) How acquired : Purchased
(c) Date acquired: 6/25/99
(d) Date Sold : 6/25/99
- 21)
(a) Description : Fifth Third Bancorp - cash in lieu of
(b) How acquired : Purchased
(c) Date acquired: 11/01/99
(d) Date Sold : 11/01/99

	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost/ Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
1)	33,255		19,816	13,439				13,439
2)	74,908		19,898	55,010				55,010
3)	20,186		20,552	-366				-366
4)	10,614		6,948	3,666				3,666
5)	19,452		20,160	-708				-708
6)	10,929		2,512	8,417				8,417
7)	27,545		19,733	7,812				7,812
8)	11,353		16,299	-4,946				-4,946
9)	4,317		5,343	-1,026				-1,026
10)	2,312		13,731	-11,419				-11,419
11)	25,212		19,960	5,252				5,252
12)	9,048		4,966	4,082				4,082
13)	6,766		16,941	-10,175				-10,175
14)	6,278		8,495	-2,217				-2,217
15)	12,397		20,427	-8,030				-8,030
16)	1000000		1015000	-15,000				-15,000
17)	75,000		76,866	-1,866				-1,866
18)	500,000		508,550	-8,550				-8,550

Hollie & Anna Oakley Foundation, Inc.

23-7008034

Statement 10 (Continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost/ Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
19)	31			31				31
20)	35			35				35
21)	46			46				46
							Total	\$ 33,487

Statement 11
Form 990-PF, Part VII-A, Line 10
Substantial Contributors During the Tax Year

Hollie Oakley Testamentary Trust
South 16th Street
Terre Haute, Indiana 47807

Statement 12
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title & avg. Hrs/wk devoted	Comp.	Employee Ben. Pln Contrib.	Expense Account/ Other
Doris Kiburis 8 South 16th Street Terre Haute, IN 47807	Assistant Sec. 1 hour a month	\$ 1,250	0	0
Alice Ann Perry 8 South 16th Street Terre Haute, IN 47807	President 25 hours a week	1,250	0	0
Eston L. Perry 8 South 16th Street Terre Haute, IN 47807	V.Pres/Treas. 20 hours a week	1,250	0	0
Julie Heck 8 South 16th Street Terre Haute, IN 47807	Secretary 15 hours a week	1,250	0	0
Jeffrey J. Perry 8 South 16th Street Terre Haute, IN 47807	Asst V-President 15 hours a week	1,250	0	0

Hollie & Anna Oakley Foundation, Inc.

23-7008034

Statement 12 (Continued)
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title & avg. Hrs/wk devoted	Comp.	Employee Ben. Pln Contrib.	Expense Account/ Other
Steve Heck 8 South 16th Street Terre Haute, IN 47807	Asst Treasurer 10 hours a week	1,250	0	0
Total		\$ 7,500	0	0

Statement 13
Form 990-PF, Part XV, Line 2a
Name and Address of Person to Whom Applicants should be Addressed

Hollie and Anna Oakley Foundation, Inc.
8 South 16th Street, Terre Haute, IN 47807

Statement 14
Form 990-PF, Part XV, Line 2b
The Form in Which Applications should be Submitted

Letter requesting grant setting forth reasons for need & purpose for which it will be used.

Statement 15
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee/Recipient Relationship	Fndtn. Status	Purpose of Grant/Contrib.	Amount
Leukemia Society Terre Haute, Indiana			Unrestricted Contribution	\$ 100
March of Dimes Terre Haute, Indiana			Unrestricted Contribution	100
Terre Haute Boys Club Terre Haute, Indiana			Unrestricted Contribution	10,000
Junior Achievement Terre Haute, Indiana			Unrestricted Contribution	1,000

Hollie & Anna Oakley Foundation, Inc.

23-7008034

Statement 15 (Continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee/Recipient Relationship	Fndtn. Status	Purpose of Grant/Contrib.	Amount
TREES, Inc. Terre Haute, Indiana			Unrestricted Contribution	1,000
Streator Twnshp High Scho Streator, Illinois			Scholarship Grant - John G. Schmidt Scholarship	10,000
Indiana State University Terre Haute, Indiana			Unrestricted Contribution	1,000
Union Hospital Foundation Terre Haute, Indiana			Unrestricted Contribution	2,500
Indiana University Fndtn Bloomington, Indiana			Unrestricted Contribution	2,000
Kappa Chi Alpha Indianapolis, Indiana			Unrestricted Contribution	500
Delta Gamma Foundation Columbus, Ohio			Unrestricted Contribution	400
Terre Haute North HS Terre Haute, Indiana			Unrestricted Contribution	700
Boy Scouts of America Terre Haute, Indiana			Unrestricted Contribution	100
Indiana State University Terre Haute, Indiana			Unrestricted Contribution	500
Indiana State University Terre Haute, Indiana			Unrestricted Contribution	10,000
Terre Haute Symphony Orch Terre Haute, Indiana			Unrestricted Contribution	10,000
Salk Institute San Diego, California			Unrestricted Contribution	25,000
Salvation Army Terre Haute, Indiana			Unrestricted Contribution	1,000

Hollie & Anna Oakley Foundation, Inc.

23-7008034

Statement 15 (Continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee/Recipient Relationship	Fndtn. Status	Purpose of Grant/Contrib.	Amount
Indiana State University Terre Haute, Indiana			Unrestricted Contribution	100,000
Community Theatre of TH Terre Haute, Indiana			Unrestricted Contribution	80,000
American Cancer Society Terre Haute, Indiana			Unrestricted Contribution	4,000
Butler University Fdtn Indianapolis, Indiana			Unrestricted Contribution	1,000
IN Natural Resources Fdtn Indianapolis, Indiana			Unrestricted Contribution	1,000
Leadership of Terre Haute Terre Haute, Indiana			Unrestricted Contribution	500
ght House Missions Terre Haute, Indiana			Unrestricted Contributions	1,000
Rose Hulman Inst of Techn Terre Haute, Indiana			Scholarships	20,000
Univ of Central Florida Florida			Unrestricted contribution	10,000
Big Brother/Big Sister Terre Haute, Indiana			Unrestricted contribution	1,250
Vigo Co. Historical Socie Terre Haute, Indiana			Unrestricted contribution	500
American Red Cross Terre Haute, Indiana			Unrestricted contribution	6,500
Diabetes Youth Fdtn Terre Haute, Indiana			Unrestricted contribution	1,000
Ivy Tech Foundation Inc Terre Haute, Indiana			Unrestricted contribution	1,000

Hollie & Anna Oakley Foundation, Inc.

23-7008034

Statement 15 (Continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee/Recipient Relationship	Fndtn. Status	Purpose of Grant/Contrib.	Amount
Vigo Co. Youth Football Terre Haute, Indiana			Unrestricted contribution	7,500
Gibault Foundation Terre Haute, Indiana			Unrestricted contribution	1,000
Vigo Co. Historical Soc. Terre Haute, Indiana			Unrestricted contribution	1,000
Terre Haute North High Sc Terre Haute, Indiana			Unrestricted contributions	250
Stage Workshops Terre Haute, Indiana			Unrestricted contribution	2,000
American Heart Assoc. e Haute, Indiana			Unrestricted contribution	15,000
League of Terre Haute Terre Haute, Indiana			Unrestricted contribution	1,000
Purdue University Fdtn West Lafayette, Indiana			Unrestricted contribution	2,500
Sheldon Swope Art Museum Terre Haute, Indiana			Unrestricted contribution	40,000
YWCA Terre Haute, Indiana			Unrestricted contribution	10,000
Union Hospital Foundation Terre Haute, Indiana			Unrestricted contribution	30,000
March of Dimes Terre Haute, Indiana			Unrestricted contribution	50
Total \$				<u>413,950</u>

PUBLISHED BY AND FOR THE BOARD OF ACCOUNTS

To: _____

(Governmental Unit)

Vigo County, Indiana

Federal ID # 22-276-8755

PUBLISHER'S CLAIM

LINE COUNT

Display Matter (Must not exceed two actual lines, neither of which shall total more than four solid lines of type in which the body of the advertisement is set) -- number of equivalent lines _____

0

Head -- number of lines _____

1

Body -- number of lines _____

109

Tail -- number of lines _____

1

Total number of lines in notice _____

110

COMPUTATION OF CHARGES

10 Lines, 1 columns wide equal _____ equivalent lines

at 2.58 cents per line _____

\$ 25.80

Additional charge for notices containing rule or tabular work
(50 percent of above amount) _____

0.00

Charge for extra proofs of publication (\$1.00 for each proof
in excess of two) _____

0.00

TOTAL AMOUNT OF CLAIM

Reference # 01512528

(Must be included on check to assure proper posting to your account)

\$ 25.80

DATA FOR COMPUTING COST

Width of single column 11 ems

Number of insertions 1

Size of type 6 point

Pursuant to the provisions and penalties of Chapter 155, Acts 1953,

I hereby certify that the foregoing account is just and correct, that the amount claimed is legally due, after showing all just credits, and that no part of the same has been paid.

Sandra S. Seeley

Date: May 9, 2000

Title: Legal Clerk

PUBLISHER'S AFFIDAVIT

State of Indiana)

ss:

Vigo County)

Personally appeared before me, a notary in and for said county and state, the undersigned SANDRA SEELEY, who, being duly sworn, says that she/he is Legal Clerk of the Tribune Star newspaper general circulation printed and published in the English language in the (city) (town) of Terre Haute, IN in state and county aforesaid, and that the printed matter attached hereto is a true copy, which was duly published in said paper for

ONE time, the dates of publication being as follows:

MAY 9, 2000

Subscribed and sworn to before me this NINTH day of MAY 2000

Shelly Swiger
NOTARY PUBLIC VIGO COUNTY

My commission expires FEB 16, 2001

This is a true and correct copy of the original as the same appears in the records of the Notary Public for the State of Indiana, who is duly sworn and qualified in and for said county and state, and the printed matter attached hereto is a true copy, which was duly published in said paper for