

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No. 1545-0052

**2009**Department of the Treasury  
Internal Revenue Service**Note:** The foundation may be able to use a copy of this return to satisfy state reporting requirements.**For calendar year 2009, or tax year beginning , 2009, and ending**

**G** Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the  
IRS label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.

Hollie & Anna Oakley Foundation, Inc.  
18 South 16th Street  
Terre Haute, IN 47807

**A** Employer identification number

23-7008034

**B** Telephone number (see the instructions)**C** If exemption application is pending, check here ☐**D 1** Foreign organizations, check here ☐**2** Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year  
(from Part II, column (c), line 16)

▶ \$ 9,329,405.

**J** Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis.)

**Part I Analysis of Revenue and**

**Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

**(a)** Revenue and expenses per books**(b)** Net investment income**(c)** Adjusted net income**(d)** Disbursements for charitable purposes (cash basis only)

<b>REVENUE</b>	<b>1</b> Contributions, gifts, grants, etc. received (att sch.)				
	<b>2</b> Ck ▶ <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	323,758.	323,758.		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain/(loss) from sale of assets not on line 10	-514,610.			
	<b>b</b> Gross sales price for all assets on line 6a	1,871,959.			
	<b>7</b> Capital gain net income (from Part IV, line 2)		0.		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit/(loss) (att sch.)					
<b>11</b> Other income (attach schedule)	See Statement 1	294.			
<b>12 Total.</b> Add lines 1 through 11	-190,558.	323,758.	0.		
<b>ADMINISTRATIVE AND EXPENSES</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	120,492.			80,328.
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach sch.)	See St. 2	7,065.		
	<b>c</b> Other prof fees (attach sch.)	See St. 3	29,012.	29,012.	
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule)(see instr.)	See Stm. 4	18,671.	2,043.	
	<b>19</b> Depreciation (attach sch) and depletion		4,511.		
	<b>20</b> Occupancy		6,640.		
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	See Statement 5	4,288.		
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	190,679.	31,055.		80,328.
<b>25</b> Contributions, gifts, grants paid. Part. XV.	386,750.			386,750.	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	577,429.	31,055.	0.	467,078.	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	-767,987.				
<b>b Net investment income</b> (if negative, enter -0-)		292,703.			
<b>c Adjusted net income</b> (if negative, enter -0-)			0.		

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>ASSETS</b>	1 Cash — non-interest-bearing.....	67,308.	7,488.	7,488.
	2 Savings and temporary cash investments.....	350,787.	181,697.	181,697.
	3 Accounts receivable.....			
	Less: allowance for doubtful accounts.....			
	4 Pledges receivable.....			
	Less: allowance for doubtful accounts.....			
	5 Grants receivable.....			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions).....			
	7 Other notes and loans receivable (attach sch.).....			
	Less: allowance for doubtful accounts.....			
	8 Inventories for sale or use.....			
	9 Prepaid expenses and deferred charges.....			
	10a Investments — U.S. and state government obligations (attach schedule).....			
	b Investments — corporate stock (attach schedule). Statement 6....	5,811,268.	5,222,421.	4,739,956.
	c Investments — corporate bonds (attach schedule). Statement 7....	2,846,657.	2,732,980.	2,619,166.
	11 Investments — land, buildings, and equipment: basis.....	3,600.		
Less: accumulated depreciation (attach schedule). See Stmt. 8. ▶.....	1,600.	2,000.	2,000.	
12 Investments — mortgage loans.....				
13 Investments — other (attach schedule). Statement 9....	1,860,768.	2,024,814.	1,658,737.	
14 Land, buildings, and equipment: basis. ▶.....	196,863.			
Less: accumulated depreciation (attach schedule). See Stmt. 10 ▶.....	76,502.	120,960.	120,361.	
15 Other assets (describe.....)				
16 <b>Total assets</b> (to be completed by all filers — see instructions. Also, see page 1, item I).....	11,059,748.	10,291,761.	9,329,405.	
<b>LIABILITIES</b>	17 Accounts payable and accrued expenses.....			
	18 Grants payable.....			
	19 Deferred revenue.....			
	20 Loans from officers, directors, trustees, & other disqualified persons.....			
	21 Mortgages and other notes payable (attach schedule).....			
	22 Other liabilities (describe.....)			
	23 <b>Total liabilities</b> (add lines 17 through 22).....	0.	0.	
<b>NET ASSET BALANCES</b>	<b>Foundations that follow SFAS 117, check here</b> ..... ▶ <input type="checkbox"/>			
	24 Unrestricted.....			
	25 Temporarily restricted.....			
	26 Permanently restricted.....			
	<b>Foundations that do not follow SFAS 117, check here</b> ..... ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds.....	12,704,526.	12,189,916.	
	28 Paid-in or capital surplus, or land, building, and equipment fund.....			
	29 Retained earnings, accumulated income, endowment, or other funds.....	-1,644,778.	-1,898,155.	
	30 <b>Total net assets or fund balances</b> (see the instructions).....	11,059,748.	10,291,761.	
	31 <b>Total liabilities and net assets/fund balances</b> (see the instructions).....	11,059,748.	10,291,761.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).....	1	11,059,748.
2 Enter amount from Part I, line 27a.....	2	-767,987.
3 Other increases not included in line 2 (itemize)..... ▶	3	
4 Add lines 1, 2, and 3.....	4	10,291,761.
5 Decreases not included in line 2 (itemize)..... ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30.....	6	10,291,761.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)

(b) How acquired  
P — Purchase  
D — Donation(c) Date acquired  
(month, day, year)(d) Date sold  
(month, day, year)**1a Publicly Traded Securities**

P

Various

Various

b

c

d

e

(e) Gross sales price

(f) Depreciation allowed  
(or allowable)(g) Cost or other basis  
plus expense of sale(h) Gain or (loss)  
(e) plus (f) minus (g)

a 1,871,959.

2,386,569.

-514,610.

b

c

d

e

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value  
as of 12/31/69(j) Adjusted basis  
as of 12/31/69(k) Excess of column (i)  
over column (j), if any(l) Gains (Column (h)  
gain minus column (k), but not less  
than -0-) or Losses (from column (h))

a

-514,610.

b

c

d

e

2 Capital gain net income or (net capital loss).

If gain, also enter in Part I, line 7  
If (loss), enter -0- in Part I, line 7

2

-514,610.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0-  
in Part I, line 8

3

0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?..... ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	450,386.	9,118,566.	0.049392
2007	650,654.	11,091,289.	0.058664
2006	481,790.	10,617,468.	0.045377
2005	524,691.	10,394,914.	0.050476
2004	412,208.	8,320,440.	0.049542

2 Total of line 1, column (d).....

2

0.253451

3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the  
number of years the foundation has been in existence if less than 5 years.....

3

0.050690

4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5.....

4

8,046,908.

5 Multiply line 4 by line 3.....

5

407,898.

6 Enter 1% of net investment income (1% of Part I, line 27b).....

6

2,927.

7 Add lines 5 and 6.....

7

410,825.

8 Enter qualifying distributions from Part XII, line 4.....

8

467,078.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  
Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here. <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)		1	2,927.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b. ....			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b) ....			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).		2	0.
3 Add lines 1 and 2.		3	2,927.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.		5	2,927.
6 Credits/Payments:			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009.	6a	10,160.	
b Exempt foreign organizations – tax withheld at source.	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld.	6d		
7 Total credits and payments. Add lines 6a through 6d.	7	10,160.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed.	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.	10	7,233.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax. .... 2,960. Refunded. ....	11	4,273.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? .....		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? .....		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year? .....		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ..... \$ 0. (2) On foundation managers ..... \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ..... \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? .....		X
If 'Yes,' attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes. ....		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year? .....		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year? .....	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? .....		X
If 'Yes,' attach the statement required by General Instruction T.		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? .....	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XIV. ....	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions). .... IN		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation. ....	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses. ....		X

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**Part VII-A Statements Regarding Activities Continued**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) .....	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? .....	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? .....	13	X	
Website address: .....				
14	The books are in care of ▶ <u>Julie Heck</u> Telephone no. ▶ <u>(812) 232-4437</u>			
	Located at ▶ <u>8 South 16th Street, Terre Haute, IN</u> ZIP + 4 ▶ <u>47807</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here .....	N/A	▶	<input type="checkbox"/>
	and enter the amount of tax-exempt interest received or accrued during the year .....	▶ 15		N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
<b>1 a</b> During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b> If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? .....	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here .....		<input type="checkbox"/>
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? .....	1c	X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If 'Yes,' list the years ▶ 20__ , 20__ , 20__ , 20__ .		
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see the instructions.) .....	2b	N/A
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20__ , 20__ , 20__ , 20__ .		
<b>3 a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? .....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b> If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) .....	3b	N/A
<b>4 a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? .....	4a	X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? .....	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No N/A  
If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No  
If 'Yes' to 6b, file Form 8870.

6b X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

7b N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 11		120,492.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services..... 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3.....	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities .....	1a	7,865,913.
b Average of monthly cash balances .....	1b	303,537.
c Fair market value of all other assets (see instructions) .....	1c	
d Total (add lines 1a, b, and c) .....	1d	8,169,450.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) .....	1e	0.
2 Acquisition indebtedness applicable to line 1 assets .....	2	0.
3 Subtract line 2 from line 1d .....	3	8,169,450.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) .....	4	122,542.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 .....	5	8,046,908.
6 Minimum investment return. Enter 5% of line 5 .....	6	402,345.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6 .....	1	402,345.
2a Tax on investment income for 2009 from Part VI, line 5 .....	2a	2,927.
b Income tax for 2009. (This does not include the tax from Part VI.) .....	2b	
c Add lines 2a and 2b .....	2c	2,927.
3 Distributable amount before adjustments. Subtract line 2c from line 1 .....	3	399,418.
4 Recoveries of amounts treated as qualifying distributions .....	4	
5 Add lines 3 and 4 .....	5	399,418.
6 Deduction from distributable amount (see instructions) .....	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 .....	7	399,418.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26 .....	1a	467,078.
b Program-related investments — total from Part IX-B .....	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes .....	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required) .....	3a	
b Cash distribution test (attach the required schedule) .....	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 .....	4	467,078.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) .....	5	2,927.
6 Adjusted qualifying distributions. Subtract line 5 from line 4 .....	6	464,151.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7.....				399,418.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only.....			0.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004.....				
b From 2005.....				
c From 2006.....				
d From 2007.....	104,361.			
e From 2008.....	2,579.			
f Total of lines 3a through e.....	106,940.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 467,078.				
a Applied to 2008, but not more than line 2a...			0.	
b Applied to undistributed income of prior years (Election required — see instructions).....		0.		
c Treated as distributions out of corpus (Election required — see instructions).....	0.			
d Applied to 2009 distributable amount.....				399,418.
e Remaining amount distributed out of corpus..	67,660.			
5 Excess distributions carryover applied to 2009..... (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5.....	174,600.			
b Prior years' undistributed income. Subtract line 4b from line 2b.....		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed...		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions.....		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions.....			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010.....				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).....	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)...	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a.....	174,600.			
10 Analysis of line 9:				
a Excess from 2005....				
b Excess from 2006....				
c Excess from 2007....	104,361.			
d Excess from 2008....	2,579.			
e Excess from 2009....	67,660.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling					
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)					
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

See Statement 12

- b The form in which applications should be submitted and information and materials they should include:

See Statement for Line 2a

- c Any submission deadlines:

See Statement for Line 2a

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Statement for Line 2a

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a</i> Paid during the year See Statement 13				
<b>Total</b> .....			<b>3a</b>	386,750.
<i>b</i> Approved for future payment				
<b>Total</b> .....			<b>3b</b>	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies . . .					
2	Membership dues and assessments . . . . .					
3	Interest on savings and temporary cash investments . . . . .					
4	Dividends and interest from securities . . . . .			14	323,758.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property . . . . .					
b	Not debt-financed property . . . . .					
6	Net rental income or (loss) from personal property . . . . .					
7	Other investment income . . . . .					
8	Gain or (loss) from sales of assets other than inventory . . . . .					-514,610.
9	Net income or (loss) from special events . . . . .					
10	Gross profit or (loss) from sales of inventory . . . . .					
11	Other revenue:					
a	Oil royalties					294.
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e) . . . . .				323,758.	-514,316.
13	Total. Add line 12, columns (b), (d), and (e) . . . . .				13	-190,558.

(See worksheet in the instructions for line 13 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



8868

(Rev. April 2009)

Department of the Treasury  
Internal Revenue ServiceApplication for Extension of Time To File an  
Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only. . . . ☐

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns.

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of Exempt Organization	PLEASE ACKNOWLEDGE DATE RECEIVED AND RETURN TO OUR OFFICE I.D. NO. 35-1327464	Employer identification number
	Hollie & Anna Oakley Foundation, Inc.	SACKRIDER & COMPANY, INC. CERTIFIED PUBLIC ACCOUNTANTS	23-7008034
	Number, street, and room or suite number. If a P.O. box, see instructions.	1925 WABASH AVENUE	
	18 South 16th Street	TERRE HAUTE, IN 47807-3326	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
	Terre Haute, IN 47807		

**Check type of return to be filed** (file a separate application for each return):

- |   |  |                                    |
|---|--|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-T (corporation)                    | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ            | <input type="checkbox"/> Form 990-T (trust other than above)         | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A                                 | <input type="checkbox"/> Form 8870 |

- The books are in the care of. ► Julie Heck

Telephone No. ► (812) 232-4437 FAX No. ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box. . . . . ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box. ► ☐. If it is for part of the group, check this box. ► ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 10, to file the exempt organization return for the organization named above.

The extension is for the organization's return for:

- ☒ calendar year 20 09 or
- ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_.

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	6,161.
<b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	8,160.
<b>c Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	0.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.**BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**Form **8868** (Rev. 4-2009)

Hollie &amp; Anna Oakley Foundation, Inc.

23-7008034

**Statement 1**  
**Form 990-PF, Part I, Line 11**  
**Other Income**

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Oil royalties.....	\$ 294.		
Total	<u>\$ 294.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**Statement 2**  
**Form 990-PF, Part I, Line 16b**  
**Accounting Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting fees.....	\$ 7,065.			
Total	<u>\$ 7,065.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**Statement 3**  
**Form 990-PF, Part I, Line 16c**  
**Other Professional Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Merrill Lynch Management Fees.....	\$ 29,012.	\$ 29,012.		
Total	<u>\$ 29,012.</u>	<u>\$ 29,012.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**Statement 4**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FICA Tax.....	\$ 6,429.			
Foreign Tax on Investments.....	2,043.	\$ 2,043.		
Income tax.....	8,681.			
Medicare Tax.....	1,504.			
Property Taxes.....	14.			
Total	<u>\$ 18,671.</u>	<u>\$ 2,043.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Hollie &amp; Anna Oakley Foundation, Inc.

23-7008034

**Statement 5**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Office expense.....	\$ 2,890.			
Other expense.....	19.			
Supplies.....	147.			
Utilities.....	1,232.			
Total	<u>\$ 4,288.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**Statement 6**  
**Form 990-PF, Part II, Line 10b**  
**Investments - Corporate Stocks**

<u>Corporate Stocks</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Corporate stocks	Cost	\$ 5,222,421.	\$ 4,739,956.
	Total	<u>\$ 5,222,421.</u>	<u>\$ 4,739,956.</u>

**Statement 7**  
**Form 990-PF, Part II, Line 10c**  
**Investments - Corporate Bonds**

<u>Corporate Bonds</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Corporate bonds	Cost	\$ 2,732,980.	\$ 2,619,166.
	Total	<u>\$ 2,732,980.</u>	<u>\$ 2,619,166.</u>

**Statement 8**  
**Form 990-PF, Part II, Line 11**  
**Investments - Land, Buildings, and Equipment**

<u>Category</u>	<u>Basis</u>	<u>Accum. Deprec.</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Improvements	\$ 1,600.	\$ 1,600.	\$ 0.	\$ 0.
Land	2,000.		2,000.	2,000.
Total	<u>\$ 3,600.</u>	<u>\$ 1,600.</u>	<u>\$ 2,000.</u>	<u>\$ 2,000.</u>



Hollie &amp; Anna Oakley Foundation, Inc.

23-7008034

**Statement 9**  
**Form 990-PF, Part II, Line 13**  
**Investments - Other**

	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
<u>Other Investments</u>			
Mutual funds	Cost	\$ 1,345,590.	\$ 1,058,596.
Other investments	Cost	679,224.	600,141.
Total		<u>\$ 2,024,814.</u>	<u>\$ 1,658,737.</u>

**Statement 10**  
**Form 990-PF, Part II, Line 14**  
**Land, Buildings, and Equipment**

<u>Category</u>	<u>Basis</u>	<u>Accum. Deprec.</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Machinery and Equipment	\$ 29,565.	\$ 25,960.	\$ 3,605.	\$ 3,605.
Buildings	28,500.	11,817.	16,683.	16,683.
Improvements	138,798.	38,725.	100,073.	100,073.
Total	<u>\$ 196,863.</u>	<u>\$ 76,502.</u>	<u>\$ 120,361.</u>	<u>\$ 120,361.</u>

**Statement 11**  
**Form 990-PF, Part VIII, Line 1**  
**List of Officers, Directors, Trustees, and Key Employees**

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP &amp; DC</u>	<u>Expense Account/ Other</u>
Jennifer Perry 8 South 16th Street Terre Haute, IN 47807	Director 1.00	\$ 2,400.	\$ 0.	\$ 0.
Doris Kiburis 8 South 16th Street Terre Haute, IN 47807	Director 1.00	2,400.	0.	0.
Alice Ann Perry 8 South 16th Street Terre Haute, IN 47807	President 10.00	40,225.	0.	0.
Eston L. Perry 8 South 16th Street Terre Haute, IN 47807	V.Pres/Treas. 20.00	34,845.	0.	0.
Julie Heck 8 South 16th Street Terre Haute, IN 47807	Secretary 20.00	19,111.	0.	0.

Hollie &amp; Anna Oakley Foundation, Inc.

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**Statement 11 (continued)**  
**Form 990-PF, Part VIII, Line 1**  
**List of Officers, Directors, Trustees, and Key Employees**

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP &amp; DC</u>	<u>Expense Account/ Other</u>
Jeffrey J. Perry 8 South 16th Street Terre Haute, IN 47807	Asst V-Presiden 20.00	\$ 19,111.	\$ 0.	\$ 0.
Jennifer Norris 8 South 16th Street Terre Haute, IN 47808	Director 1.00	2,400.	0.	0.
	Total	\$ 120,492.	\$ 0.	\$ 0.

**Statement 12**  
**Form 990-PF, Part XV, Line 2a-d**  
**Application Submission Information**

Name of Grant Program: Hollie and Anna Oakley Foundation, Inc.  
 Name: Hollie and Anna Oakley Foundation, Inc.  
 Care Of:  
 Street Address: 18 South 16th Street  
 City, State, Zip Code: Terre Haute, IN 47807  
 Telephone: 812-232-4437  
 Form and Content: Letter requesting grant setting forth reasons for need and purpose for which it will be used.  
 Submission Deadlines: Quarterly - April 10, July 10, October 10 & December 10.  
 Restrictions on Awards: All grants are given to tax exempt entities as defined in section 501 (c) 3 of the Internal Revenue code.

**Statement 13**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Junior Achievement 35 Southland Shopping Ctr Terre Haute, IN 47802,	None		Unrestricted Contribution	\$ 2,500.
Streator Twnshp High Scho 600 N. Jefferson Street Streator, IL 61364,	None		Scholarship	5,000.

Hollie &amp; Anna Oakley Foundation, Inc.

23-7008034

**Statement 13 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Indiana State Univ. Foundation Rankin Hall 200 Terre Haute, IN 47809,	None		Scholarships	\$ 20,000.
Delta Gamma Foundation 3250 Riverside Drive Columbus, OH 43221,	None		Unrestricted Contribution	500.
Boy Scouts of America 501 S. 25th Street Terre Haute, IN 47807,	None		Unrestricted Contribution	500.
St. Mary of the Woods College St. Mary of the Woods, IN 47876	None		Endowed Scholarship Fund	20,000.
Light House Missions 1450 Wabash Avenue Terre Haute, IN 47807,	None		Major appliances	1,500.
Rose Hulman Inst of Techn 5500 Wabash Avenue Terre Haute, IN 47803,	None		Scholarships	20,000.
Union Hospital Foundation 1606 N. 7th Street Terre Haute, IN 47804,	None		Unrestricted contribution	2,500.
United Cerebral Palsy 621 Poplar Terre Haute, IN 47807,	None		Unrestricted Contribution	1,000.
Swope Art Museum 25 South 7th Street Terre Haute, IN 47807,	None		Youth Art Program	5,000.
Lamba Chi Alpha 8741 Founders Road Indianapolis, IN 46268-1389,	None		Unrestricted contribution	250.
Ivy Tech Foundation 7999 So. US Hwy 41 Terre Haute, IN 47802	None		New auditorium construction	100,000.
Children's Museum of Terre Haute 523 Wabash Ave Terre Haute, IN 47807	None		New Building	100,000.

Hollie &amp; Anna Oakley Foundation, Inc.

23-7008034

**Statement 13 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Prostate Cancer 1250 Fourth Street, Suite 360 Santa Monica, CA 90401	None		Unrestricted contribution	\$ 500.
WMFE 11510 East Colonial Dr. Orlando, FL 32817	None		Unrestricted Contribution	1,000.
Freebirds Solutions 145 East Voorhees St Terre Haute, IN 47802	None		Unrestricted Contribution	2,500.
Indiana Univ Found Radio &Television Ctr 1229 East 7th St Bloomington, IN 47405	None		Unrestricted Contribution	1,000.
Sisters of Providence St Mary of the Woods, IN 47876	None		Repairs to church organ	5,000.
West Vigo Community Center 127 West Johnson Ave West Terre Haute, IN 47885	None		Unrestricted Contribution	10,000.
Union Hospital Foundation 1606 N 7th St Terre Haute, IN 47804	None		Capital Campaign	50,000.
Rose Hulman Inst of Technology 5500 Wabash Ave Terre Haute, IN 47803	None		Future of Education Innovation Program	5,000.
Rose Hulman Inst of Technology 5500 Wabash Ave Terre Haute, IN 47803	None		Telescope equipment upgrade	28,000.
Vigo County Emergency Management 915 South Peterchiff St Terre Haute, IN 47803	None		Unrestricted Contribution	5,000.
Total				\$ <u>386,750.</u>

## Hollie &amp; Annie Oakley Foundation, Inc.

Form 990 PF , Part II Investments

12/31/2009

EIN # 23-7008034

	<u>Investment</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
<b>Cash &amp; Equivalents</b>				
	FFI INSTITUTIONAL FUND	1,449	\$ 1,449	\$ 1,449
	Cash		15,501	15,501
	ML BANK DEPOSIT PROGRAM		164,747	164,747
	<b>Total Cash and Equivalents</b>		<u>\$ 181,697</u>	<u>\$ 181,697</u>
<b>Stocks &amp; Related</b>				
	ABT	269	13,564	14,523
	ABBOTT LABS			
	ACTL	1,021	15,275	12,129
	ACTEL CORP COM			
	ADBE	198	6,937	7,282
	ADOBE SYS DEL PV\$ 0.001			
	AVAV	108	3,007	3,141
	AEROVIRONMENT INC			
	A	250	6,883	7,768
	AGILENT TECHNOLOGIES INC			
	ALGT	144	2,911	6,792
	ALLEGiant TRAVEL CO			
	AMZN	79	8,291	10,627
	AMAZON COM INC COM			
	AXP	2,433	104,148	98,585
	AMER EXPRESS COMPANY			
	AMMD	568	7,737	10,957
	AMERICAN MED SYS HLDGS			
	AMT	190	6,754	8,210
	AMERICAN TOWER CORP CL A			
	AMP	113	3,765	4,387
	AMERIPRISE FINL INC			
	AMGN	119	6,132	6,732
	AMGEN INC COM PV \$0.0001			
	ANPI	6,428	40,672	7,971
	ANGIOTECH PHARMACEUTICLS			
	BUD	118	4,412	6,140
	ANHEUSER-BUSCH INBEV ADR			
	APA	600	50,331	61,902
	APACHE CORP			
	AAPL	1,114	158,784	234,755
	APPLE INC			
	ARTG	1,211	2,079	5,462
	ART TECHNOLOGY GROUP INC			
	AGO	271	4,595	5,897
	ASSURED GUARANTY LTD			
	BAESY	202	6,161	4,682
	BAE SYS PLC SPN ADR			
	BIDU	30	11,924	12,337
	BAIDU INC SPON ADR			
	BBVA	599	10,705	10,806
	BANCO BILBAO VIZCAYA ARGENTARIA S A ADR			

## Hollie &amp; Annie Oakley Foundation, Inc.

## Form 990 PF , Part II Investments

12/31/2009

EIN # 23-7008034

<u>Investment</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
BK	500	16,534	13,985
BANK NEW YORK MELLON CORP			
BAC	6,000	124,093	90,360
BANK OF AMERICA CORP			
BCS	354	3,986	6,230
BARCLAYS PLC ADR			
BARE	1,025	3,405	12,536
BARE ESCENTUALS INC			
BBG	131	4,619	4,075
BARRETT BILL CORP			
ABX	132	5,048	5,198
BARRICK GOLD CORPORATION			
BDX	62	4,166	4,889
BECTON DICKINSON CO			
BBBY	190	6,316	7,336
BED BATH & BEYOND INC			
BRKB	8	24,519	26,288
BERKSHIRE HATHAWAY CLB			
BRGY	56	6,301	5,068
BG GROUP PLC SPON ADR			
BHP	121	6,742	9,266
BHP BILLITON LTD ADR			
BBL	189	7,953	12,068
BHP BILLITON PLC SP ADR			
BGFV	916	6,041	15,737
BIG 5 SPORTING GOODS COR			
HRB	235	10,513	5,316
BLOCK H&R INC			
BNPQY	135	2,641	5,422
BNP PARIBAS SPONSORD ADR			
BA	1,500	117,755	81,195
BOEING COMPANY			
EPAY	1,472	16,802	25,863
BOTTOMLINE TECH DEL INC			
BP	99	6,827	5,739
BP PLC SPON ADR			
BTI	110	6,547	7,113
BRITISH AMN TOBACO SPADR			
CPKI	915	11,221	12,307
CALIFORNIA PIZZA KITCHEN			
CNQ	160	10,873	11,512
CANADIAN NATURAL RES LTD			
CAJ	201	10,751	8,506
CANON INC ADR REP5SH			
CAH	110	4,548	3,546
CARDINAL HEALTH INC OHIO			
CFN	55	1,802	1,376
CAREFUSION CORP SHS			
CWST	4,151	26,632	16,687
CASELLA WASTE SYS INC A			

## Hollie &amp; Annie Oakley Foundation, Inc.

## Form 990 PF , Part II Investments

12/31/2009

EIN # 23-7008034

<u>Investment</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
CX	4,000	48,902	47,280
CEMEX SAB DE CV SPND ADR			
CHK	2,500	63,855	64,700
CHESAPEAKE ENERGY OKLA			
CVX	1,000	84,759	76,990
CHEVRON CORP			
JRJC	3,500	97,271	25,550
CHINA FIN ONLINE SP ADR ADR			
CPSL	15,000	73,070	30,750
CHINA PRECISION STL INC			
CSCO	4,338	117,108	103,852
CISCO SYSTEMS INC COM			
C	2,500	61,493	8,275
CITIGROUP INC			
CLC	151	8,270	4,898
CLARCOR INC			
CLF	2,000	111,446	92,180
CLIFFS NATURAL RESOURCESINC			
KO	1,500	82,491	85,500
COCA COLA COM			
CCOI	1,559	13,238	15,372
COGENT COMMUNICATIONS GROUP INC			
COHR	952	22,074	28,303
COHERENT INC CAL			
CMCSK	1,329	27,493	21,277
COMCAST CRP NEW CL A SPL			
CPSI	352	7,865	16,210
COMPUTER PROGRAMS & SYS			
COP	249	13,417	12,716
CONOCOPHILLIPS			
CPWM	2,472	25,335	2,521
COST PLUS INC CALIF.			
COST	450	23,086	26,627
COSTCO WHOLESALE CRP DEL			
CS	168	6,274	8,259
CREDIT SUISSE GP SP ADR			
CRH	208	5,577	5,685
CRH PLC ADR			
CVS	458	14,879	14,752
CVS CAREMARK CORP			
DVN	275	14,843	20,213
DEVON ENERGY CORP NEW			
DEO	140	8,450	9,717
DIAGEO PLC SPSD ADR NEW			
DGII	247	3,404	2,253
DIGI INTL INC			
DTV	83	2,064	2,768
DIRECTV GROUP HLDNGS CLA			
DIS	155	5,020	4,999
DISNEY (WALT) CO COM STK			

## Hollie &amp; Annie Oakley Foundation, Inc.

Form 990 PF , Part II Investments

12/31/2009

EIN # 23-7008034

<u>Investment</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
DIVX	922	4,633	5,200
DIVX INC			
DOW	875	20,223	24,176
DOW CHEMICAL CO			
DNB	65	3,887	5,484
DUN & BRADSTREET COR-NEW			
EMC	388	5,736	6,778
E M C CORPORATION MASS			
ESIO	1,031	15,797	11,155
ELECTRO SCIENTFC IND INC			
EMR	337	12,776	14,356
EMERSON ELEC CO			
EOG	370	28,909	36,001
EOG RESOURCES INC			
ESPGY	574	7,546	7,663
ESPRIT HOLDNG LTD SP ADR			
ESRX	45	2,958	3,889
EXPRESS SCRIPTS INC COM			
XOM	631	51,301	43,028
EXXON MOBIL CORP COM			
FANUY	169	6,923	7,943
FANUC LTD-UNSP			
FARO	657	13,378	14,086
FARO TECHNOLOGIES INC			
FLIR	215	2,619	7,037
FLIR SYSTEMS INC			
FMC	117	2,826	6,524
FMC CORP COM NEW			
FRPT	1,434	4,208	7,471
FORCE PROTECTION COM NEW			
FTO	4,000	94,374	48,160
FRONTIER OIL CORP			
GAIA	506	4,147	3,891
GAIAM INC CL A			
GD	201	9,332	13,702
GENL DYNAMICS CORP COM			
GILD	219	10,304	9,476
GILEAD SCIENCES INC COM			
GG	2,000	72,609	78,680
GOLDCORP INC			
GS	89	9,956	15,027
GOLDMAN SACHS GROUP INC			
GOOG	369	156,323	228,773
GOOGLE INC CL A			
GB	612	12,522	11,769
GREATBATCH INC			
TV	125	2,664	2,595
GRUPO TELEVISA SA ADR			
HAL	309	9,614	9,298
HALLIBURTON COMPANY			



## Hollie &amp; Annie Oakley Foundation, Inc.

Form 990 PF , Part II Investments

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EIN # 23-7008034

<u>Investment</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
HOG	225	10,994	5,670
HARLEY DAVIDSON INC WIS			
HPQ	362	11,677	18,647
HEWLETT PACKARD CO DEL			
HON	2,145	84,576	84,084
HONEYWELL INTL INC DEL			
HWCC	238	3,231	2,832
HOUSTON WIRE AND CABLE CO			
HOCPY	180	5,122	4,788
HOYA CORP ADR			
HBC	286	13,716	16,328
HSBC HLDG PLC SP ADR			
IPXL	659	8,051	8,969
IMPAX LABS INC			
ITYBY	86	6,487	5,451
IMPERIAL TOB GRP SPS ADR			
INTC	570	13,805	11,628
INTEL CORP			
IN	365	5,033	4,694
INTERMEC INC			
IBM	307	28,992	40,186
INTL BUSINESS MACHINES CORP IBM			
IRM	382	9,054	8,694
IRON MOUNTAIN INC NEW			
ITRN	612	7,238	7,848
ITURAN LOCATION AND CONTROL LTD			
XXIA	1,448	14,261	10,788
IXIA COM			
JNJ	517	31,790	33,300
JOHNSON AND JOHNSON COM			
JPM	903	28,159	37,628
JPMORGAN CHASE & CO			
KCAP	843	7,288	3,844
KOHLBERG CAP CORP			
XPRT	2,412	22,206	7,212
LECG CORP			
LIFE	62	1,755	3,238
LIFE TECHNOLOGIES CORP			
LIOX	4,403	20,898	10,127
LIONBRIDGE TECHNOLOGIES			
LYG	1,872	10,456	6,121
LLOYDS BANKING GROUP PLCADR			
L	400	10,416	14,540
LOEWS CORP			
LVMUY	422	6,772	9,474
LVMH MOET HENNESSY ADR			
ME	380	7,154	4,412
MARINER ENERGY INC			
RSX	1,750	86,923	54,583
MARKET VECTORS RUSSIA			

## Hollie &amp; Annie Oakley Foundation, Inc.

## Form 990 PF , Part II Investments

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EIN # 23-7008034

<u>Investment</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
MA	100	17,455	25,598
MASTERCARD INC			
MCD	336	17,819	20,980
MCDONALDS CORP COM			
MRK	896	25,975	32,740
MERCK AND CO INC SHS			
MKGAY	143	3,542	4,409
MERCK KGAA			
MEI	490	5,585	4,253
METHODE ELECTRNCS INC			
MTD	97	4,977	10,184
METTLER-TOLEDO INTL INC			
MSCC	343	6,375	6,092
MICROSEMI CORP			
MSFT	4,874	130,264	148,560
MICROSOFT CORP			
MTX	91	6,072	4,957
MINERALS TECHNOLOGIES			
MITEY	33	4,384	5,282
MITSUBISHI ESTATE ADR			
MCO	150	13,028	4,020
MOODY'S CORP			
MOS	109	5,567	6,511
MOSAIC CO			
NATI	106	2,542	3,122
NATL INSTRUMENTS CORP			
NWSA	665	11,533	9,104
NEWS CORP CL A			
NKE	177	9,784	11,694
NIKE INC CL B			
NMR	730	5,772	5,402
NOMURA HLDGS INC ADR			
JWN	73	2,498	2,743
NORDSTROM INC			
NSC	196	10,532	10,274
NORFOLK SOUTHERN CORP			
NVO	90	4,173	5,747
NOVO NORDISK A S ADR			
NRG	86		1,978
NRG ENERGY INC			
OXY	365	14,672	29,693
OCCIDENTAL PETE CORP CAL			
ORI	1,136	12,944	11,405
OLD REPUB INTL CORP			
ORCL	309	5,061	7,580
ORACLE CORP \$0.01 DEL			
PACR	1,719	10,547	5,432
PACER INTL INC TENN			
PRX	164	4,787	4,438
PAR PHARMACEUTICAL COS INC			

## Hollie &amp; Annie Oakley Foundation, Inc.

## Form 990 PF , Part II Investments

12/31/2009

EIN # 23-7008034

<u>Investment</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
PGH	5,000	93,450	48,150
PENGROWTH ENERGY TR			
PPCO	953	8,736	2,468
PENWEST PHARMACEUTICALS			
PBR	1,826	75,021	87,064
PETROLEO BRAS VTG SPD ADR			
PFE	890	13,610	16,189
PFIZER INC			
PPDI	328	10,156	7,688
PHARM PROD DEV INC			
PM	2,025	95,592	97,585
PHILIP MORRIS INTL INC			
PLCM	138	3,024	3,446
POLYCOM INC COM			
POT	46	4,221	4,991
POTASH CORP SASKATCHEWAN			
POWI	261	4,628	9,490
POWER INTEGRATIONS INC			
PIN	2,000	49,701	44,140
POWERSHARES INDIA ETF TR			
PHO	4,000	82,137	67,440
POWERSHARES WATER RESOURCES PORTFOLIO			
PUW	3,000	87,586	70,620
POWERSHARES WILDERHILL PROGRESSIVE ENERGY PORT			
PPG	102	5,644	5,971
PPG INDUSTRIES INC SHS			
PX	123	8,396	9,878
PRAXAIR INC			
PCLN	17	3,678	3,713
PRICELINE COM INC			
PG	1,135	75,239	68,815
PROCTER & GAMBLE CO			
PGR	690	14,635	12,413
PROGRESSIVE CRP OHIO			
PUK	383	3,273	7,809
PRUDENTIAL PLC ADR			
PSSI	127	1,757	2,870
PSS WORLD MEDICAL INC			
XING	8,000	82,098	17,520
QIAO XING UNIVERSAL TEL			
QCOM	393	17,885	18,180
QUALCOMM INC			
QSFT	699	10,142	12,862
QUEST SOFTWARE INC COM			
RIMM	1,000	69,632	67,540
RESEARCH IN MOTION LTD			
RNOW	801	8,668	13,913
RIGHTNOW TECH INC			
RHHBY	130	5,994	5,486
ROCHE HLDG LTD SPN ADR			

## Hollie &amp; Annie Oakley Foundation, Inc.

## Form 990 PF , Part II Investments

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EIN # 23-7008034

<u>Investment</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
SNY	216	6,664	8,482
SANOFI AVENTIS SPON ADR			
SAP	106	5,271	4,962
SAP AG SHS			
SCSC	180	5,146	4,806
SCANSOURCE INC			
SLB	800	79,917	52,072
SCHLUMBERGER LTD			
SEE	550	17,012	12,023
SEALED AIR CORP (NEW)			
SMTC	420	4,893	7,144
SEMTECH CORPORATION			
SHFL	3,651	22,503	30,084
SHUFFLE MASTER INC			
SGAPY	248	5,201	5,444
SINGAPORE TELECOMM LT AD			
SIRI	20,000	91,593	12,000
SIRIUS XM RADIO INC			
SCGLY	744	11,257	10,453
SOCIETE GENERAL SPN ADR			
SOHU	2,000	100,570	114,560
SOHU COM INC COM			
STJ	2,500	102,645	91,950
ST JUDE MEDICAL INC			
SXE	229	5,760	6,277
STANLEY INC			
SBUX	129	1,555	2,975
STARBUCKS CORP			
HOT	99	2,113	3,620
STARWOOD HOTELS AND RESORTS WORLDWIDE NE			
SMFJY	1,884	10,825	5,369
SUMITOMO MITSU FINL ADR			
SUHJY	382	4,763	5,673
SUN HG KAI PPTY SPSD ADR			
STKL	7,533	29,107	25,311
SUNOPTA INC			
SPN	112	2,475	2,720
SUPERIOR ENERGY SVCS INC			
SXCI	215	3,160	11,599
SXC HEALTH SOLUTIONS CORP			
TSCDY	240	4,587	4,937
TESCO PLC SPNRD ADR			
TXN	440	11,489	11,466
TEXAS INSTRUMENTS			
TOT	86	8,134	5,507
TOTAL S.A. SP ADR			
TRH	121	7,423	6,305
TRANSATLANTIC HLDGS INC			
RIG	318	27,953	26,330
TRANSOCEAN LTD			

## Hollie &amp; Annie Oakley Foundation, Inc.

Form 990 PF , Part II Investments

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<u>Investment</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
TUWOY	253	2,657	2,732
TULLOW OIL PLC SHS			
TYC	75	3,236	2,676
TYCO INTL LTD NAMEN-AKT			
UBS	481	8,751	7,460
UBS AG REG			
UL	182	5,096	5,806
UNILEVER PLC NEW ADR			
UNP	311	15,785	19,873
UNION PACIFIC CORP			
UPS	124	6,793	7,114
UNITED PARCEL SVC CL B			
UTX	178	16,721	12,355
UNITED TECHS CORP COM			
UTI	755	10,273	15,251
UNIVERSAL TECHNICAL INSTINC			
USB	406	8,835	9,139
US BANCORP (NEW)			
VALE	4,200	107,792	121,926
VALE SA			
VLO	2,000	105,328	33,500
VALERO ENERGY CORP NEW			
VR	131	3,198	3,529
VALIDUS HOLDINGS LTD			
VARI	220	7,503	11,339
VARIAN INC			
VSAT	297	8,007	9,439
VIASAT INC COM			
V	244	16,296	21,340
VISA INC CL A SHRS			
VOD	235	5,308	5,426
VODAFONE GROU PLC SP ADR			
VMC	60	3,287	3,160
VULCAN MATERIALS CO			
WMT	176	8,732	9,407
WAL-MART STORES INC			
WAG	213	6,361	7,821
WALGREEN CO			
WFC	1,823	44,040	49,203
WELLS FARGO & CO NEW DEL			
WNR	6,100	78,955	28,731
WESTERN REFNG INC			
MRWSY	233	5,483	5,180
WM MORRISON SUPERMARKETSPLC SHS			
YHOO	4,268	110,322	71,617
YAHOO INC			
ZFSVY	360	5,850	7,826
ZURICH FINL SVCS SPN ADR			
<b>Total Stocks &amp; Related</b>		<b>\$ 5,222,421</b>	<b>\$ 4,739,956</b>

Hollie & Annie Oakley Foundation, Inc.  
Form 990 PF , Part II Investments  
12/31/2009  
EIN # 23-7008034

<u>Investment</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
<b>Mutual Funds</b>			
HYV	20,437	300,536	214,180
BLACKROCK CORP HY FUND V			
NEFZX	6,491	100,541	89,576
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS A			
FXI	1,500	80,222	63,390
ISHARES FTSE XINHUA HK CHINA 25 INDEX FUND			
ECH	1,500	78,344	82,185
ISHARES INC MCSI CHILE INDEX FD			
EWZ	600	51,697	44,766
ISHARES MSCI BRAZIL FREEINDEX FUND			
EWC	2,000	52,362	52,660
ISHARES MSCI CDA INDX FD			
EEM	1,000	41,764	41,500
ISHARES MSCI EMERGING MKTS INDEX FD			
EWH	4,300	94,547	67,338
ISHARES MSCI HONG KONG INDEX FUND			
EWJ	6,500	91,587	63,310
ISHARES MSCI JAPAN INDEXFUND			
EWM	7,500	90,551	79,650
ISHARES MSCI MALAYSIA FREE INDEX FUND			
EWS	6,450	142,541	74,111
ISHARES MSCI SINGAPORE INDEX FUND			
EWY	1,500	78,321	71,460
ISHARES MSCI SOUTH KOREAINDEX FUND			
ILF	1,500	77,588	71,685
ISHARES S&P LATIN AMERIC40 INDEX FUND			
XFIVX	100	64,989	42,785
BLACKROCK FIXED INCOME OPPORTUNITIES TRUST			
<b>Total Mutual Funds</b>		<b>\$ 1,345,590</b>	<b>\$ 1,058,596</b>

**Corporate Bonds& Preferred Stocks**

AXP13	100,000	99,475	107,316
AMERICAN EXPRESS SER MTN 05.875% MAY 02 2013			
ZZZZ16	100,000	100,126	102,156
ANHEUSER-BUSCH COS INC - 05.050% OCT 15 2016			
T11	75,000	73,888	81,099
BELLSOUTH CORPORATION GLB 06.000% OCT 15 2011			
CLCH14	50,000	49,774	32,750
CLEAR CHANNEL COMMUNICAT- 05.500% SEP 15 2014			
26054LEM1	100,000	100,000	100,238
DOW CHEMICAL COMPANY SER NOTZ 05.900% AUG 15 2013			
EOP 14	100,000	98,185	84,460
EOP OPERATING LP COMPANY GUARNT GLB 04.750% MAR 15 2014			
34539CTS1	100,000	100,000	95,980
FORD MOTOR CREDIT CO SER COBR 05.650% JUL 20 2011			

Hollie & Annie Oakley Foundation, Inc.  
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EIN # 23-7008034

<u>Investment</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
GS13A	50,000	48,002	52,312
GOLDMAN SACHS GROUP INC GLB 04.750% JUL 15 2013			
4165X0CE8	100,000	100,000	100,075
HARTFORD LIFE INSURANCE SER NOTZ 05.250% JAN 15 2013			
HR14	50,000	49,539	48,244
HEALTHCARE REALTY TRUST - 05.125% APR 01 2014			
HTZ12	50,000	49,275	50,250
HERTZ CORP GLB 07.625% JUN 01 2012			
HMN16	50,000	49,869	49,821
HORACE MANN EDUCATORS - 06.850% APR 15 2016			
40429XVD5	100,000	100,000	103,801
HSBC FINANCE CORP SER NOTZ 05.750% JUL 15 2013			
JPM15	50,000	49,324	52,035
JP MORGAN CHASE & CO SUBORDINATED GLB 05.250% MAY 01 2015			
T 13	100,000	100,259	95,250
LIBERTY MEDIA - 05.700% MAY 15 2013			
MMC14	50,000	50,073	51,136
MARSH & MCLENNAN COS INCGLB 05.375% JUL 15 2014			
BAC 13A	100,000	97,744	107,016
MERRILL LYNCH & CO NOTES SER MTN GLB 06.150% APR 25 2013			
MS13A	100,000	99,757	105,400
MORGAN STANLEY GLB 05.300% MAR 01 2013			
26054LCJ0	100,000	100,000	98,820
NM DOW CAPITAL BV BESER NOTZ 05.450% FEB 15 2013			
3704A0DB5	125,000	125,000	98,383
NM GENL MOTORS ACCEPT COSER SMN1 06.000% NOV 15 2013			
3704A0HR6	200,000	200,000	143,532
NM GENL MOTORS ACCEPT COSER SMN STEP% MAR 15 2016			
44181EEL8	100,000	100,000	105,675
NM HOUSEHOLD FIN CORP BESER NOTZ 07.100% DEC 15 2011			
44181EZZ4	50,000	50,000	48,211
NM HSBC FINANCE CORP SER NOTZ VAR% DEC 10 2013			
WHR15	50,000	46,222	49,709
NM MAYTAG CORP BESER MTNE 05.000% MAY 15 2015			
78490FKH1	50,000	50,000	29,057
NM SLM CORP SER BED STEP% MAR 15 2024			
RTN13	50,000	49,918	54,084
RAYTHEON CO - 05.375% APR 01 2013			
RAI12	100,000	101,037	109,931
REYNOLDS AMERICAN INC COMP GUARNT SER * GLB 07.250% JUN 01 2012			
812404BG5	100,000	94,261	100,500
SEARS ROEBUCK ACCEPTANCECOMPANY GUARNT GLB 07.000% FEB 01 2011			
TE12	50,000	50,303	53,636
TAMPA ELECTRIC - 06.375% AUG 15 2012			
TE11	100,000	100,233	104,397
TECO ENERGY - 07.200% MAY 01 2011			
892335AH3	50,000	50,171	50,813
TOYS R US GLB 07.625% AUG 01 2011			
TYC11A	50,000	48,500	52,478
TYCO INTERNATIONAL COMPANY GUARNT 06.750% FEB 15 2011			

Hollie & Annie Oakley Foundation, Inc.  
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EIN # 23-7008034

<u>Investment</u>	<u>Quantity</u>	<u>Cost</u>	<u>Fair Value</u>
CCS	2,000	50,000	48,360
COMCAST CORPORATION NOTES 6.625% MAY 15, 2056			
GEG	2,000	50,312	48,320
GENERAL ELECTRIC CAP CORSENIOR NOTES SER A 06.05% FEB 06 2047			
LHHMQ	2,000	48,653	400
LEHMAN BROS HLDG CP TR VTRUST PFD SECS 06.000% APR 22 2053			
WFCPRJ	137	3,080	3,521
WELLS FARGO & CO NEW SER J 08.000% PERPETUAL			

<b>Total Corporate Bonds &amp; Preferred Stocks</b>	<b>\$ 2,732,980.00</b>	<b>\$ 2,619,166.00</b>
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**Convertible Securities**

AIGPRA	900	51,081	10,197
AMER INTL GROUP INC. EQUITY UNITS CONV PFD SHARES			
FPRS	1,150	53,092	45,908
FORD MTR CO CAP TR II CONV CUMULATIVE 06.500% PFD STK			

<b>Total Convertible Securities</b>	<b>\$ 104,173</b>	<b>\$ 56,105</b>
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**Alternative Investments**

**Managed Futures & Structured Products**

MLNBD	11,900	126,902	113,407
ML&CO PPN CURRENCY 100% EUR/USD PPN DUE NOVEMBER 2, 2010			
MLBET	9,000	96,509	81,990
ML&CO. MITTS ROGRER SV=3809.96 ROGR EXC RET DUE OCTOBER 04, 2013			
CAMPBELL STRATEGIC ALLOCATION FUND LP	72	199,782	172,557
1MTH CAMPBELL STRATEGIC ALLOCATION FUND LP	73	218	175

<b>Total Managed Futures &amp; Structured Products</b>	<b>\$ 423,411</b>	<b>\$ 368,129</b>
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**Limited Partnership**

BPL	1,800	78,881	98,010
BUCKEYE PARTNERS L P			
EPD	2,480	72,759	77,897
ENTERPRISE PRDTS PRTN LPL P			

<b>Total Limited Partnership</b>	<b>\$ 151,640</b>	<b>\$ 175,907</b>
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<b>Grand Total</b>	<b>\$ 10,161,912</b>	<b>\$ 9,199,556</b>
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**NP-20**State Form 51062  
(R3 / 3-10)

Indiana Department of Revenue  
**Indiana Nonprofit Organization's Annual Report**  
**For the Calendar Year or Fiscal Year**  
**Beginning** 01/01/2009 **and Ending** 12/31/2009  
MM/DD/YYYY MM/DD/YYYY

Check if: ☐ Change of Address  
☐ Amended Report  
☐ Final Report: Indicate Date Closed \_\_\_\_\_

Due on the 15th day of the 5th month following the end of the tax year.  
**NO FEE REQUIRED.**

Name of Organization			Telephone Number
Hollie & Anna Oakley Foundation Inc.			(812) 232-4437
Address		County	Indiana Taxpayer Identification Number
18 South 6th Street		Vigo	00061318959 000
City	State	Zip Code	Federal Identification Number
Terre Haute	IN	47807	23-7008034
Printed Name of Person to Contact			Contact's Telephone Number
Julie Heck			(812) 232-4437

If you are filing a federal return, attach a completed copy of Form 990, 990EZ, or 990PF.

**Note:** If your organization has unrelated business income of more than \$1,000 as defined under **Section 513** of the Internal Revenue Code, **you must also file Form IT-20NP.**

**Current Information**

1. Have any changes not previously reported to the Department been made in your governing instruments, (e.g.) articles of incorporation, bylaws, or other instruments of similar importance? If yes, attach a detailed description of changes.
2. Indicate number of years your organization has been in continuous existence. 55.
3. Attach a schedule, listing the names, titles and addresses of your current officers.
4. Briefly describe the purpose or mission of your organization below.

To distribute income of Foundation to various charitable religious educational and scientific organizations as determined by the Board of Directors.

Email Address:

*I declare under the penalties of perjury that I have examined this return, including all attachments, and to the best of my knowledge and belief, it is true, complete, and correct.*

Signature of Officer or Trustee

Julie Heck

Title

(812) 232-4437

Date

Name of Person(s) to Contact

Daytime Telephone Number

**Important:** Please submit this completed form and/or extension to:  
Indiana Department of Revenue, Tax Administration  
P.O. Box 7147  
Indianapolis, IN 46207-7147  
Telephone: (317) 233-4015

**Extensions of Time to File**

The Department recognizes the Internal Revenue Service application for automatic extension of time to file, Form 8868. **Please forward a copy of your federal extension, identified with your Nonprofit Taxpayer Identification Number (TID), to the Indiana Department of Revenue, Tax Administration by the original due date to prevent cancellation of your sales tax exemption.** Always indicate your Indiana Taxpayer Identification number on your request for an extension of time to file.

Reports post marked within thirty (30) days after the federal extension due date, as requested on Federal Form 8868, will be considered as timely filed. A copy of the federal extension must also be attached to the Indiana report. In the event that a federal extension is not needed, a taxpayer may request in writing an Indiana extension of time to file from the: Indiana Department of Revenue, Tax Administration, P.O. Box 7147, Indianapolis, IN 46207-7147, (317) 233-4015.

If Form NP-20 or extension is not timely filed, the taxpayer will be notified by the Department pursuant to I.C. 6-2.5-5-21(d), to file Form NP-20. If within sixty (60) days after receiving such notice the taxpayer does not file Form NP-20, the taxpayer's exemption from sales tax will be canceled.